

The Effectiveness of Endobronchial Ultrasound-Guided Transbronchial Needle Aspiration in Patients With Undiagnosed Lung Cancer

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ABSTRACT

Objectives: Conventional bronchoscopic techniques and computed tomography-guided transthoracic needle aspiration are widely used in the diagnosis of lung cancer. In some patients diagnosis can be challenging. Endobronchial ultrasound-guided transbronchial needle aspiration can be used in the diagnosis of lung cancer after procedures have failed to provide a diagnosis. We aimed to show the effectiveness of Endobronchial ultrasound-guided transbronchial needle aspiration in the diagnosis of lung cancer in view of the literature and to share the experience from Turkey.

Material and Methods: This was a retrospective study conducted between 2014 and 2019. Forty-five patients who were suspected of having lung cancer and underwent Endobronchial ultrasound because diagnosis was not confirmed using methods such as bronchoscopy, computed tomography transthoracic needle aspiration, and peripheral lymph node excision, were included in the study.

Results: Three hundred sixty-eight Endobronchial ultrasound procedures were performed. Forty-five patients met the inclusion criteria and were included in the study. Using Endobronchial ultrasound, samples were taken from only mass in eight patients (17.8%), lymph nodes in 30 patients (66.7%), and mass + lymph node in seven (15.5%) patients. Minor complications were seen in five (11.1%) patients and no major complications were seen. Definitive diagnosis was obtained in 35 (77.7%) patients with Endobronchial ultrasound guided transbronchial needle aspiration. Non-small cell lung cancer was identified in 16 patients (45.7%), small cell lung cancer was seen in 15 (42.8%) patients. Seven of ten undiagnosed patients underwent surgical procedures.

Conclusion: Endobronchial ultrasound, is an effective and safe method for diagnosing lung cancer after undiagnosed procedures. In selected cases, it can be the first choice for the diagnosis of lung cancer.

Keywords: Diagnosing, endobronchial ultrasonography, lung cancer

INTRODUCTION

In patients suspected of having lung cancer, rapid diagnosis and staging are essential for early treatment. Flexible bronchoscopy (FB), computed tomography-guided transthoracic needle aspiration (CT-TTNA) and sputum cytology can be used for diagnosing lung cancer [1,2]. Sampling procedures with flexible bronchoscopy such as biopsy, needle aspiration, brush and bronchial lavage have a high diagnostic yield in endobronchial tumors, but the diagnosis rate decreases without endobronchial abnormalities [3]. Transbronchial needle aspiration (TBNA) can increase the diagnostic rate in some extraluminal tumors and can be used in staging, but it is a blind procedure with high false-negative rates; therefore, the yield for TBNA varies widely (14-91%) [4]. CT-TTNA can be used in suitable patients, especially in peripheral masses, but the risk of complications such as pneumothorax and bleeding and using it only in peripheral lesions, restricts its use [1, 5].

Endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) is widely used in staging lung cancer. The diagnostic efficiency of EBUS in mediastinal staging is around 90% and at guidelines it was recommended as first option for mediastinal staging before mediastinoscopy [6]. EBUS can also be used for the diagnosis of intrapulmonary tumors, unknown hilar and/or mediastinal lymphadenopathy and pulmonary embolism [7]. In the benign hilar and/or mediastinal lymphadenopathy, the diagnostic accuracy of EBUS varies between 74.5%- 96% [8]. EBUS can be used diagnosing lung cancer in patients with no endobronchial lesions. It is effective in diagnosing central peribronchial lung masses and peripheral masses with mediastinal metastasis. In this group, diagnostic accuracy of EBUS varies 85%-90% [1, 9, 10]. However, there are few studies on this subject.

Herein, we aimed to investigate the effectiveness and safety of EBUS in undiagnosed lung cancer despite conventional bronchoscopic techniques and TTNA.

MATERIALS and METHODS

We designed a retrospective study performed between January 1st, 2014, and November 30th,

2019, in our clinic at an education and research hospital in Turkey. Ethical approval for this study was obtained from the University of xxx. (8.5.2020-4/4)

All cases in which EBUS was performed by our clinic during the study period were scanned from the hospital information processing system. Patients with suspected lung cancer and which was not diagnosed with other procedures were included in the study. The inclusion criteria were defined as follows: (1) age over 18 years, (2) suspected lung cancer with thorax CT and undiagnosed with conventional bronchoscopy, TTNA or peripheral lymph node excision, (3) having extrathoracic malignancy at least 1 year before and suspicion of new primary lung cancer. The exclusion criteria were defined as follows: (1) Suspected cases of lung cancer and that directly underwent EBUS without other previous procedures, (2) cases who were diagnosed with lung cancer and underwent EBUS for mediastinal staging, (3) having active extrathoracic malignancy and suspicion of metastasis.

In all patients, the following data were collected from hospital database: demographic characteristics, comorbidities, CT and positron emission tomography (PET)-CT imaging findings, flexible bronchoscopy and EBUS reports (EBUS duration time, sampling area, number of puncture, number of aspiration, complications) and cytopathologic reports.

Procedure

Before the procedure, the patients' CT and PET-CT imaging were examined for the presence of endobronchial lesions and the lesion to be sampled. The procedure was performed using conscious sedation with midazolam and topical lidocaine. Heart rate, blood pressure and oxygen saturation were monitored in real-time. All procedures were performed by a pulmonologist with at least 1 years' EBUS experience. The Convex probe (CP)-EBUS scope (Fujifilm EB- 530US with VP-3500HD processor) was used to evaluate the mediastinum, hilum, and parenchymal lung lesion. The target was identified using EBUS and a 22-gauge needle (Echotip*Ultra- ECHO-HD-22-EBUS-O) was advanced using the jabbing technique. Suction

was used and 12-17 agitations of the needle were performed in the lesion per pass. Samples were sent to cytopathology for analysis. On-site cytology (ROSE) was not used during the bronchoscopic procedures in our hospital. After the procedure, patients were monitored for adverse event detection and registration before being discharged.

Statistical Methods

Statistical analyses of the study data were performed using the Statistical Package for Social Sciences (SPSS) Version IBM Statistic 21.0 (SPSS Inc., Chicago, IL, USA). Continuous variables were presented as mean and standard deviation, whereas categorical variables were presented as a number and a percentage. Chi-square testing and t-tests were used for categorical and continuous factors, respectively. Statistical significance was considered as $P < 0.05$.

RESULTS

During the study period, 368 EBUS procedures were performed. Sixty six cases who underwent EBUS for diagnosis lung cancer without other procedures, 40 patients who had EBUS for mediastinal staging, 34 patients who had extrathoracic malignancy and underwent EBUS for metastasis and 183 patients who underwent EBUS for benign diseases were excluded. 45 patients met the inclusion criteria and were included in the study (Figure 1). Of these patients, 40 (88.9%) were male. The mean age was 63 ± 7.3 (range, 40-79) years. The patients' demographics are summarized in Table 1. The CT findings of the patients are summarized in Table 2. In 17 (41.4%) patients, the mass was located in the right upper lobe and 24 (58.5%) patients had a mass in the right lobe. Twenty-two (55%) patients had parenchymal mass and lymphadenopathy; lymphadenopathies were sampled for diagnosis in this group. Eighteen (45%) patients had mediastinal mass with/without parenchymal mass. Thirty-eight (92.6%) patients had lymphadenopathy. Among the total 119 lymphadenopathies, were mostly seen in 4R (20.1%), 7 (20.1%), and 10R (15.9%) locations.

Thirty-six (13.3%) patients had been initially submitted to a non-diagnostic FB, six (13.3%) patients to CT-TTNA, one (2.2%) to FB+CT-TTNA, and one (2.2%) patient to peripheral lymphadenopathy excision. In FB, 17 (45.9%) patients underwent

TBNA, four patients (10.8%) had bronchial biopsy, and bronchial lavage was performed in all cases (Figure 2). Thirty-one patients (68.9%) had PET-CT. The PET-CT findings are summarized in Table 2. The mean SUV-max value of mass was 11.3 ± 11 . PET-CT positive lymphadenopathies were mostly seen at 4R, 10R, and 7 locations and the mean maximal SUV-max value was 14.3 ± 9.1 .

The mean surgical duration of EBUS procedure was 29.8 ± 7.9 (range, 15- 50) minutes and all patients were discharged home after the examination. Samples were taken from only mass in eight (17.8%) patients, lymph nodes in 30 (66.7%) patients, and mass + lymph node in seven (15.5%) patients. The lesions were punctured 1.8 ± 0.6 (range, 1-3) times and at least 12-17 aspirations were performed in each sampling (Figure 2). A total of 57 lymph nodes were sampled in the 37 patients and the sampled lymph node distribution is shown in Table 3. Complications were seen in five (11.1%) patients. Among these, hypertension attack occurred in two patients, respiratory distress in two patients, and hemorrhage in one patient. There were no problems in the follow-up after the procedure and hospitalization was not required.

Malignancy was diagnosed in 35 (77.7%) patients using EBUS. Non-small cell lung cancer was identified in 16 cases (45.7%); lung adenocarcinoma in nine (56.2%) patients, squamous cell carcinoma in six

Table 1. Patients' demographics

N=45	Number (%)
Age	63 ± 7.3
Male/Female	40 (88.9%) / 5 (11.1%)
Smoking (n=44)	
Nonsmoker	3 (7%)
Exsmoker	10 (23%)
Smoker	31 (70%)
P/Year	40.1 ± 22.0
Comorbidities (n=44)	
None	16 (36%)
HT+IHD*	14 (32%)
COPD†	11 (25%)
DM‡	5 (11%)
Previous malignancy	5 (11%)
Depression	1 (2%)
Histiocytosis x	1 (2%)

(*HT-Hypertension, IHD-Ischemic heart disease, †COPD-Chronic obstructive pulmonary disease, ‡DM-Diabetes mellitus)

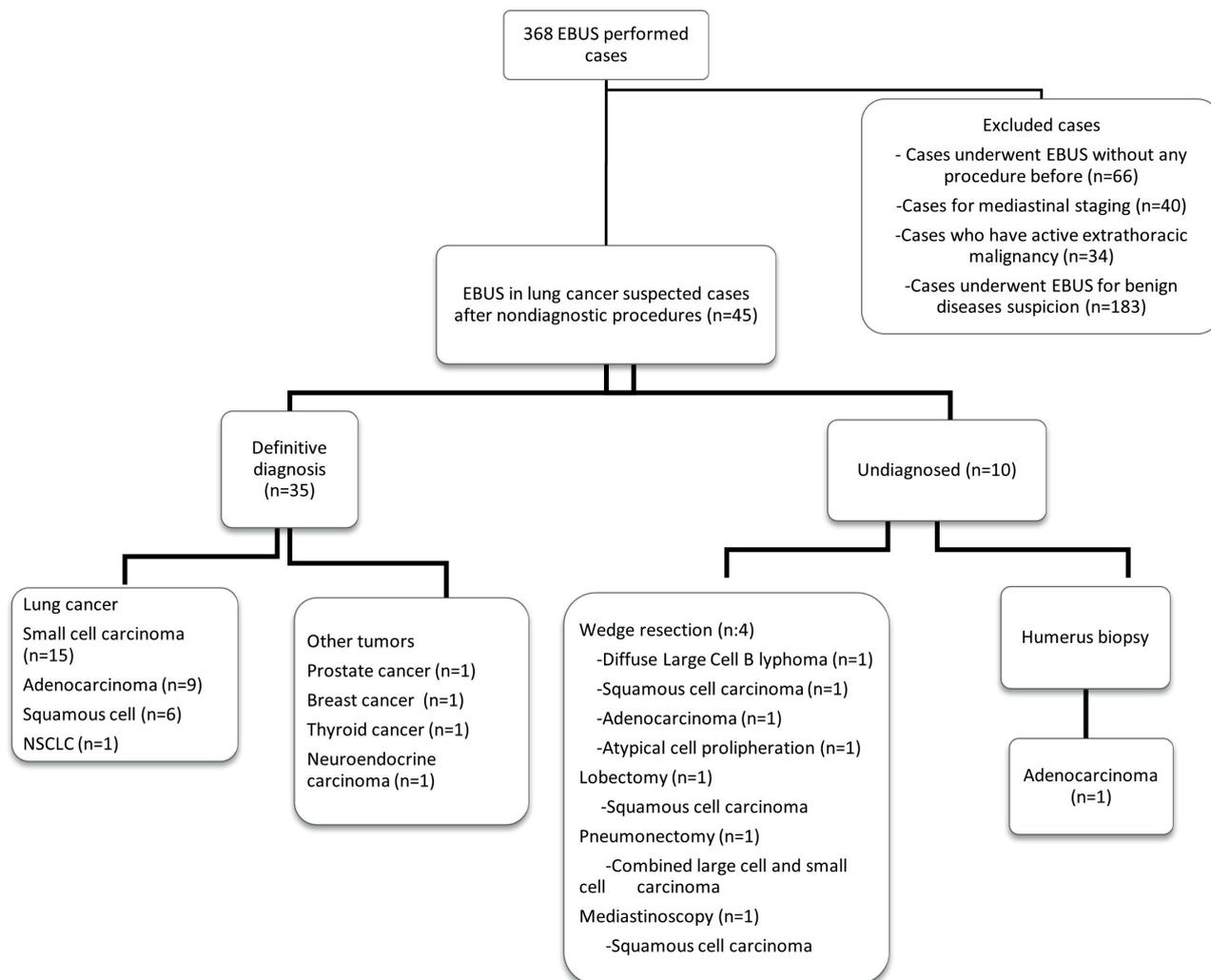


Figure 1. Diagnosis chart of patients underwent EBUS



Figure 2. A-Left hilar 32*26mm mass and 34*24mm lymphadenopathy at 4L. B- TBNA performed from secondary carina but diagnosis could not be obtained. C- 4L and left hilar area was sampled with EBUS. Diagnosis was squamous cell carcinoma.

(37.5%) patients, and undifferentiated carcinoma in one (6.2%) patient. Small cell lung cancer was seen in 15 (42.8%) patients. In four patients, prostate cancer, breast cancer, medullary thyroid carcinoma, and high-grade neuroendocrine carcinoma were identified.

In ten undiagnosed patients, seven patients underwent further procedures: wedge resection (n=4), lobectomy (n=1), pneumonectomy (n=1), and mediastinoscopy (n=1). One patient's cancer was diagnosed through a biopsy from the metastatic lesion in the humerus. These patients

Table 2. Computed tomography and PET-CT findings

Mass location (n=41)	Number (%)
RUL*	17 (41.4%)
RML†	3 (7.3%)
RLL‡	4 (9.7%)
LUL§	9 (21.9%)
LLL	7 (17%)
No mass	1 (2.4%)
Mediastinal mass	6 (15%)
Parenchymal mass	22 (55%)
Mediastinal+parenchymal mass	12 (30%)
Mean size of mass (mm)	37.9±25.2
Lymphadenopathy	
Yes	38 (92.6%)
No	3 (7.3%)
Lymph node locations (n=119)	Number of lymph nodes
2R	4 (3.3%)
2L	1 (0.8%)
3	3 (2.5%)
4R	24 (20.1%)
4L	13 (10.9%)
5	2 (1.6%)
6	13 (10.9%)
7	24 (20.1%)
8	1 (0.8%)
10R	19 (15.9%)
10L	15 (12.6%)
Mean size of maximal lymphadenopathy (mm)	22.5±16.5
PET-CT positive lymphadenopathy locations	Number (%)
2R	6 (5.6%)
2L	2 (1.8%)
3	3 (2.8%)
4R	18 (16.9%)
4L	10 (9.4%)
5	4 (3.7%)
6	11 (10.3%)
7	15 (14.1%)
8	4 (3.7%)
10R	17 (16%)
10L	12 (11.3%)
11R	3 (2.8%)
11L	1 (0.9%)

(*RUL: Right upper lobe, †RML: Right middle lobe, ‡RLL: Right lower lobe, §LUL: Left upper lobe, ||LLL: Left lower lobe)

Table 3. EBUS procedure details

Mean surgical time	29.8±7.9 (range 15-50 min)
Sampling per lesion (mean, range)	1.8±0.6 (1-3)
Total lymph nodes punctured	57
Punctured lymph node locations	
7	20 (35%)
4R	16 (28%)
10L	9 (15%)
4L	8 (14%)
10 R	3 (5%)
11R	1 (1.7%)

were diagnosed as having squamous cell carcinoma (n=3), adenocarcinoma (n=2), combined large cell and small cell carcinoma (n=1), diffuse large b cell lymphoma (n=1), and atypical cellular proliferation (n=1). Information about the final diagnosis of two patients could not be obtained (Figure 1).

The diagnostic accuracy of EBUS was found as 77.7%. If we separate patients by sampled mediastinal masses and sampled lymph nodes, mediastinal masses were sampled using EBUS in 15 (33.3%) patients and 14/15 (93.3%) patients received a diagnosis. Lymph nodes were sampled in 37 patients (82.2%) and the diagnostic accuracy was 75.6% (28/37) in this group. There was no relation between diagnosis with EBUS and age, mean lymph node size, mean mass size, SUV-max of mass and lymph node at PET-CT, and the number of samples per lesion. The mean surgical time was correlated with the diagnostic rate, which was 31.6 min in cases diagnosed with EBUS and 26.5 min in undiagnosed cases (p=0.028) (Table 4). There are differences in the number of patients between the subgroups due to the absence of lymph nodes or PET-CT in each patient.

DISCUSSION

In our study, a 77.7% diagnostic yield was shown in patients with undiagnosed lung cancer with acceptable and no serious complications. Diagnostic success increases to 93.3 % in patients sampled the mass. Duration time of EBUS was correlated with diagnosis and there is no data on this subject in previous studies.

Table 4. Factors that may be associated with efficiency of EBUS

	EBUS sampling		p value
	Diagnostic (n=35)	Nondiagnostic(n=10)	
Age	62.9±7.6	64.3±6.3	0.586
Mean size of mass (mm)*	40.9±21.5	48.78±26.1	0.362
Mean size of maximal lymphadenopathy (mm)†	27.54±11	27±21.5	0.922
Mean SUV-max value of mass‡	16.9±9	16.9±10.7	0.984
Mean maximal SUV-max value of lymphadenopathy§	15.45±8.2	14.7±10.3	0.845
Mean surgical duration of EBUS procedure (min)	31.6±6	26.5±7.1	0.028
Number of samples per lesion	1.8±0.7	1.9±0.6	0.583

* 31 patients in EBUS diagnostic group and 9 patients in EBUS nondiagnostic group

† 28 patients in EBUS diagnostic group and 9 patients in EBUS nondiagnostic group

‡ 23 patients in EBUS diagnostic group and 7 patients in EBUS nondiagnostic group

§ 22 patients in EBUS diagnostic group and 6 patients in EBUS nondiagnostic group

EBUS has been widely used for staging lung cancer and has been included in guidelines for mediastinal staging [11]. Gu et al. reported the sensitivity rate of EBUS in staging as 93% [12], and a recently published study by Guarize et al. showed a sensitivity of 90.7%, and diagnostic accuracy of 93.1% in staging lung cancer [9]. Dhooria et al. showed that a combination of EUS and EBUS increased the diagnostic rate (80% to 91%) in the staging of mediastinal lung cancer [13].

Lung cancer diagnosis is challenging in some patients. Although FB and TTNA are the first procedures for diagnosing lung cancer, it was shown that 12% of cases were undiagnosed after these procedures [1]. In a review, the diagnostic accuracy of different modalities using by FB was shown as 74% with endobronchial biopsy, 59% with cytobrush, and 48% with bronchial lavage in endobronchial lesions in patients with suspected lung cancer. The combined sensitivity for all modalities was 88%. It was observed that sensitivity decreased in peripheral lesions [14]. TBNA can increase the diagnostic rate, but it also has limitations. It was shown in a retrospective study that although the diagnostic accuracy of lymph nodes ≥ 2 cm was 93%, diagnostic accuracy decreased to 89.7% in lymph nodes < 2 cm because of blind visualization [15].

CT-TTNA is an effective method for the diagnosis of lung cancer, especially in peripheral nodules and masses. In different studies, its diagnostic accuracy was found ranging from 64% to 97% because its efficiency depends on many factor

such as the size and location of the lesion, biopsy technique, needle type, number of passes, and operator experience. Also, there are limitations such as adequate pulmonary function tests, and no bleeding tendency. It is a method that can be used in selected patients due to its adverse effects such as pneumothorax and bleeding [16].

Before EBUS, the patients who had undiagnosed lung cancer with conventional bronchoscopic techniques and TTNA, underwent surgical procedures such as mediastinoscopy and thoracoscopy. However with standard cervical mediastinoscopy, paratracheal (station 2 and 4) and subcarinal (station 7) lymph nodes are available. In the study by Ernst et al. when the diagnostic success of EBUS and mediastinoscopy was compared, it was found that the diagnostic success of EBUS was superior with a rate of 91% to 78%. While no complications related to EBUS were observed, complications such as wound infection and bleeding were observed after mediastinoscopy. In different studies, mediastinoscopy has a higher complication rate with mortality reported between 0.08 and 0.2% and a morbidity rate of 2% and 2.5%. Repeatability is another advantage of EBUS [17].

While using for lung cancer staging, EBUS was found to be useful for diagnosing lung cancer, especially in central masses with no endobronchial lesions and metastatic central lymph nodes with peripheral lesions. There are some studies showing the effectiveness of EBUS after nondiagnostic procedures such as FB and CT-TTNA. Eckardt et al. were able to diagnose 55% of 308 patients with

EBUS after nondiagnostic procedures [18]. In 163 patients who had centrally located intrapulmonary tumors with no endobronchial abnormalities, EBUS detected tumor in 145/163 (89%) and a definitive diagnosis was achieved in 94% of patients (136/145) in another study [3]. Tournoy et al. reported a sensitivity of 84% in the diagnosis of central lung lesions not visible in routine bronchoscopy [19]. In a study including the largest EBUS series, EBUS-TBNA demonstrated a sensitivity of 90.9% and accuracy as 91.7% in paratracheal or peribronchial pulmonary lesions [9]. In our study, similar to the studies 93.3% of patients who have mediastinal masses diagnosed with EBUS. Mass size, mass SUV-max value in PET-CT, and sampling number were not correlated with EBUS diagnostic yield. With these higher diagnostic rates, EBUS can be the first choice in diagnosing lung cancer in mediastinal tumors without endobronchial lesions.

In metastatic central lymph nodes with parenchymal masses, EBUS is also an effective tool for diagnosis. In a study by Conte et al., EBUS was shown to be effective in lymph nodes under 2 cm with 94.2% diagnostic accuracy and 93% sensitivity [15]. Bugalho et al. used EBUS and EUS together and achieved a definitive diagnosis with 89.8% sensitivity and 100% specificity after nondiagnostic FB and TTNA. They stated that applying two procedures together increased the rate of diagnosis [1]. In a review article, Colella et al. reported that the sensitivity of EBUS ranged from 85% to 97% [20]. In our study, in 37 patients (82.2%) lymph nodes were sampled and diagnostic accuracy was 75.6% in this group. There was no relation between diagnostic accuracy and lymph node size, sampling number and lymph node SUV-max value in PET-CT. In different studies there was no statistically significant relationship between lymph node size and EBUS diagnostic success as in our study [15, 21]. In Marchand's et al. study, EBUS sensitivity rate was 33% in low PET-CT activity (SUV<4) and 79% in high PET-CT activity (SUV>4) [21]. The reason that we could not find a relationship between PET involvement and lymph node diagnosis success in our study; may be the high PET involvement in almost all our patients and sampling of lymph node with high PET involvement.

The diagnostic effectiveness of EBUS may also depend on the number of aspirations, although there is no consensus on this issue yet. If rapid on-

site evaluation (ROSE) is available, the number is not very important, but unfortunately, ROSE cannot be used in many centers, like our center. In several studies, it was demonstrated that an optimal result could be obtained after the third EBUS-TBNA pass per lesion [22, 23]. However, in other studies, there was no significant correlation between diagnostic accuracy and the number of passes [24, 25]. In our study, we sampled each lesion (lymph node or mass) 1.8 ± 0.6 (1-3) times and at least 12-17 aspirations were performed with sampling.

Unlike other studies, our data showed that surgical time was associated with the diagnostic efficacy of EBUS. The surgical duration was 31.6 minutes in cases diagnosed with EBUS, and 21.5 minutes in undiagnosed cases and the difference was statistically significant. In a study by Bugalho et al., the mean surgical time was 35.5 minutes. However, in this study and other studies, it was not stated whether surgical time was correlated with the diagnosis [1, 3, 10].

The optimal method for sedation, a factor that may affect the diagnostic accuracy of EBUS, is still controversial. In a review including six studies, there was no specific difference in diagnostic efficacy and complication rates between the deep sedation and moderate sedation groups [26]. Some researchers suggest that using deep sedation especially sampling EBUS-visible intrapulmonary lesions [27]. We use moderate sedation with midazolam and topical lidocaine in our institution. Considering the patients who developed complications, the operation could not be continued related to high blood pressure in only one patient. Therefore, we think that the place of sedation in the success of the procedure may be limited.

No major complications were seen in our study. In five (11.1%) patients, minor complications were seen but neither needed hospitalization. Although there were no major complications in many studies, minor complication rates range from 0-12.5% [1, 3, 28]. These minor complications may occur because of moderate sedation.

In Navani et al.'s study, in patients with non-small cell lung cancer diagnosed using EBUS, survival was longer compared with patients diagnosed with conventional methods (503 vs. 312 days). In subgroup analysis, patients with lung cancer

who underwent surgery had better postoperative survival in the EBUS group. The authors stated that sampling mediastinal lymph nodes that anatomically drained the primary tumor might result in improved survival in the patient group undergoing surgery. Early treatment decision and early treatment could also improve survival [6]. Further studies are needed on the survival effect of EBUS.

The retrospective nature of this study is the major limitation. Therefore, randomization of patients could not be achieved and the indication for the procedure was determined by different physicians. This caused deficiencies in data collection. Another limitation is the small number of cases. Also, this study was conducted in a single-center. Future, multi-centered, randomized studies may guide EBUS's diagnostic effectiveness as well as cost-effectiveness and survival contribution.

CONCLUSION

EBUS is an effective and safe method in the diagnosis of lung cancer that is undiagnosed with other procedures. EBUS may be the first diagnostic procedure for mediastinal and peripheral masses, especially with mediastinal lymphadenopathies. Detailed examination of CT findings and appropriate patient selection may increase diagnosis rates. Reduction in the time-to-treatment decision might improve survival in patients with lung cancer. Further investigations are needed to determine the survival effectiveness of EBUS.

CONFLICT OF INTEREST

All authors stated that there is not any conflict of interest.

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